

APPENDIX 1

INVESTMENTS HELD AS AT 30th JUNE 2014

Counterparty	Start Date	Maturity Date	Rate of Interest %	Amount £m
FIXED TERM DEPOSITS				
SUMITOMO BANK	02/04/14	02/07/14	0.50000	10.0
LLOYDS BANK	04/07/13	04/07/14	1.01000	2.5
GOLDMAN SACHS	17/01/14	17/07/14	0.73500	5.0
NATIONWIDE BUILDING SOCIETY	16/01/14	23/07/14	0.57000	5.0
LLOYDS BANK	16/08/13	18/08/14	1.01000	5.0
HSBC	26/06/14	26/08/14	0.65000	5.0
GOLDMAN SACHS	05/03/14	05/09/14	0.72000	5.0
LLOYDS BANK	19/09/13	19/09/14	0.98000	2.5
SANTANDER UK	31/03/14	30/09/14	0.55000	10.0
BARCLAYS BANK PLC	31/03/14	30/09/14	0.54000	4.0
LLOYDS BANK	28/10/13	28/10/14	0.98000	15.0
NATIONWIDE BUILDING SOCIETY	06/05/14	06/11/14	0.57000	5.0
BARCLAYS BANK PLC	06/05/14	06/11/14	0.55000	6.0
LLOYDS BANK	19/11/13	19/11/14	0.98000	5.0
LANCASHIRE COUNTY COUNCIL	18/02/13	18/02/15	0.85000	15.0
NORTHUMBERLAND COUNTY COUNCIL	01/03/13	02/03/15	0.85000	10.0
LLOYDS BANK	31/03/14	31/03/15	0.95000	5.0
LLOYDS BANK	11/04/14	13/04/15	0.95000	5.0
Standard Chartered (King & Shaxson Client A/c) - CD	28/04/14	28/04/15	0.83000	5.0
RBS (King & Shaxson Client A/c) - CD	28/04/14	28/04/15	0.82000	10.0
NEWCASTLE CITY COUNCIL	01/07/13	01/07/15	0.70000	5.0
NEWCASTLE CITY COUNCIL	29/07/13	29/07/15	0.70000	10.0
KINGSTON-UPON-HILL CITY COUNCIL	02/01/14	04/01/16	0.90000	2.0
GREATER LONDON AUTHORITY	01/04/14	01/04/16	1.14000	15.0
RBS (collar deposit - floor 1.15%; ceiling 1.37%)	21/05/14	23/05/16	1.15000	15.0
WARRINGTON BOROUGH COUNCIL	31/10/13	31/10/16	1.45000	5.0
LONDON FIRE & EMERGENCY PLANNING AUTHORITY	28/11/13	28/11/16	1.50000	5.0
WEST DUMBARTONSHIRE COUNCIL	26/03/14	24/03/17	1.60000	2.5
PERTH & KINROSS COUNCIL	23/03/14	24/03/17	1.45000	5.0
				<u>199.5</u>
OTHER				
Insight Sterling Liquidity Fund	Instant access account		0.41	15.0
Ignis Sterling Liquidity Fund	Instant access account		0.44	15.0
Prime Rate Sterling Liquidity Fund	Instant access account		0.41	2.7
RBS 95 day notice account	95 day notice account		0.60	15.0
Deutsche Bank 95 day notice account	95 day notice account		0.74	5.0
Svenska Handelsbanken instant access account	Instant access account		0.60	15.0
Payden Sterling Reserve Fund	Instant access account			15.0
CCLA Local Authority Property Fund	Property Fund			5.0
TOTAL INVESTMENTS AS AT 31st MARCH 2014				<u>287.2</u>
ICELANDIC BANK DEPOSIT (not included above)				
Heritable Bank - total claim (principal & interest)	28/06/07	29/06/09	6.42	5,087,065
Less: Dividend received to 30/06/14 (94%)				<u>-4,782,724</u>
Principal sum unrecovered as at 30/06/14				<u>304,341</u>
Provision in 2013/14 accounts for non-recovery (5.9% of total claim)				<u>300,000</u>

APPENDIX 2

INVESTMENTS HELD AS AT 30/06/14

	FROM	TO	RATE	£m	TOTAL £m	LIMIT	REMAINING
<u>UK BANKS</u>							
HSBC BANK plc	26/06/14	26/08/14	0.65000	5.0	5.0	30.0	25.0
BARCLAYS BANK PLC	31/03/14	30/09/14	0.54000	4.0			
BARCLAYS BANK PLC	06/05/14	06/11/14	0.55000	6.0	10.0	10.0	0.0
LLOYDS BANK	04/07/13	04/07/14	1.01000	2.5			
LLOYDS BANK	16/08/13	18/08/14	1.01000	5.0			
LLOYDS BANK	19/09/13	19/09/14	0.98000	2.5			
LLOYDS BANK	28/10/13	28/10/14	0.98000	15.0			
LLOYDS BANK	19/11/13	19/11/14	0.98000	5.0			
LLOYDS BANK	31/03/14	31/03/15	0.95000	5.0			
LLOYDS BANK	11/04/14	13/04/15	0.95000	5.0	40.0	40.0	0.0
ROYAL BANK OF SCOTLAND-CD Investment	28/04/14	28/04/15	0.82000	10.0			
ROYAL BANK OF SCOTLAND-Floor 1.15%; ceiling 1.37%	21/05/14	23/05/16	1.15000	15.0			
ROYAL BANK OF SCOTLAND-95 day notice account	19/04/13	95 days	0.60000	15.0	40.0	40.0	0.0
SUMITOMO MITSUI BANKING CORP	02/04/14	02/07/14	0.50000	10.0	10.0	10.0	0.0
SANTANDER UK	31/03/14	30/09/14	0.55000	10.0	10.0	10.0	0.0
GOLDMAN SACHS	17/01/14	17/07/14	0.73500	5.0			
	05/03/14	05/09/14	0.72000	5.0	10.0	10.0	0.0
STANDARD CHARTERED BANK-CD Investment	28/04/14	28/04/15	0.83000	5.0	5.0	20.0	15.0
<u>OVERSEAS BANKS</u>							
SVENSKA HANDELSBANKEN	Instant access		0.60000	15.0	15.0	15.0	0.0
DEUTSCHE BANK	95 day notice		0.74000	5.0	5.0	5.0	0.0
<u>UK BUILDING SOCIETIES</u>							
NATIONWIDE BUILDING SOCIETY	16/01/14	23/07/14	0.57000	5.0			
NATIONWIDE BUILDING SOCIETY	06/05/14	06/11/14	0.57000	5.0	10.0	10.0	0.0
<u>OTHER LOCAL AUTHORITIES</u>							
KINGSTON-UPON-HILL CITY COUNCIL	02/01/14	04/01/16	0.90000	2.0	2.0	15.0	13.0
WEST DUMBARTONSHIRE COUNCIL	26/03/14	24/03/17	1.60000	2.5	2.5	15.0	12.5
PERTH & KINROSS COUNCIL	23/03/14	24/03/17	1.45000	5.0	5.0	15.0	10.0
LANCASHIRE COUNTY COUNCIL	18/02/13	18/02/15	0.85000	15.0	15.0	15.0	0.0
NORTHUMBERLAND COUNTY COUNCIL	01/03/13	02/03/15	0.85000	10.0	10.0	15.0	5.0
NEWCASTLE CITY COUNCIL	01/07/13	01/07/15	0.70000	5.0			
NEWCASTLE CITY COUNCIL	29/07/13	29/07/15	0.70000	10.0	15.0	15.0	0.0
WARRINGTON BOROUGH COUNCIL	31/10/13	31/10/16	1.45000	5.0	5.0	15.0	10.0
LONDON FIRE & EMERGENCY PLANNING AUTHORITY	28/11/13	28/11/16	1.50000	5.0	5.0	15.0	10.0
GREATER LONDON AUTHORITY	01/04/14	01/04/16	1.14000	15.0	15.0	15.0	0.0
<u>OTHER ACCOUNTS</u>							
INSIGHT STERLING LIQUIDITY FUND	Instant access		0.41	15.0	15.0	15.0	0.0
IGNIS STERLING LIQUIDITY FUND	Instant access		0.44	15.0	15.0	15.0	0.0
PRIME RATE STERLING LIQUIDITY FUND	Instant access		0.41	2.7	2.7	15.0	12.3
PAYDEN STERLING RESERVE FUND	Instant access			15.0	15.0	15.0	0.0
CCLA PROPERTY FUND	Property Fund			5.0	5.0	25.0	20.0
TOTAL INVESTMENTS AS AT 31/03/14					287.2	287.2	
ICELANDIC BANK DEPOSIT (not included above)							
Heritable Bank - total claim (principal & interest)	28/06/07	29/06/09	6.42	5,087,065			
Less: Dividend received to 30/06/04 (94%)				-4,782,724			
Principal sum unrecovered as at 30/06/14				304,341			
Provision in 2013/14 accounts for non-recovery (5.9% of total claim)				300,000			